### Welcome to T35A

- Three Handouts
- Today:
  - Course Overview
  - Introduction to Set Theory
  - The Limits of Computation

#### The Course Website

Course Mailing: teoriacomputacional@uaslp.edu.mx http://carlosgi.work/tc/

### Goals for this Course

- Explore mathematical structures that arise in math and computing.
- Equip you with the fundamental mathematical tools to reason about problems that arise in computing.
- Explore the **limits of computing** and what can be computed.
- Explore the inherent complexity of problems and why some problems are harder than others.

### Introduction to Set Theory

"Cool people"

"The chemical elements"

"Cute animals"

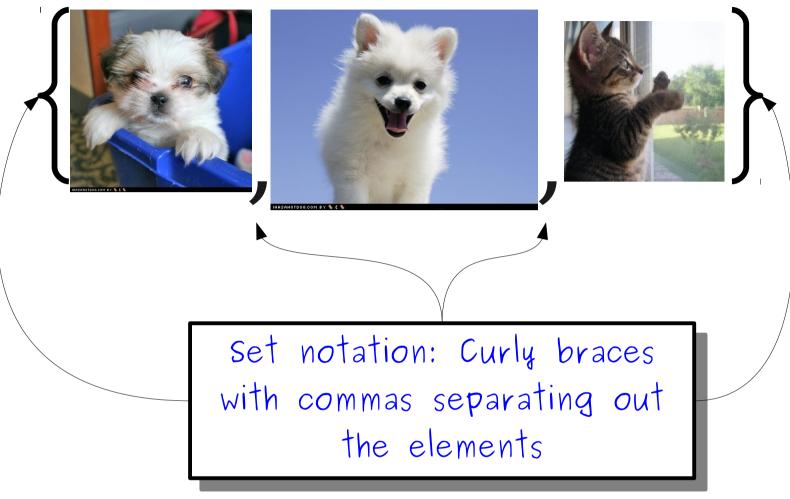
"US coins."



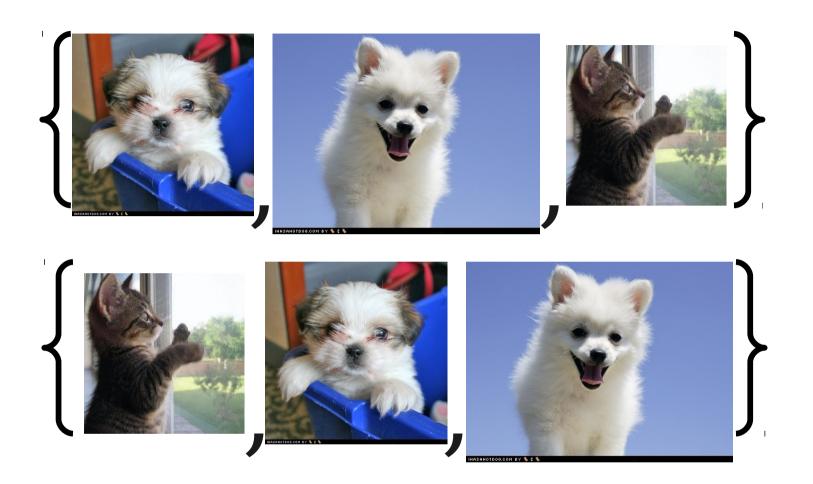




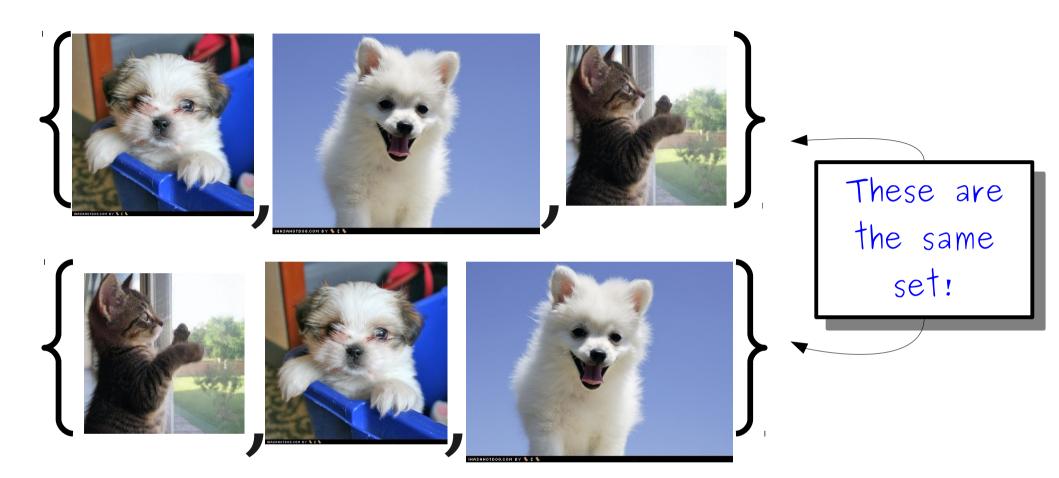




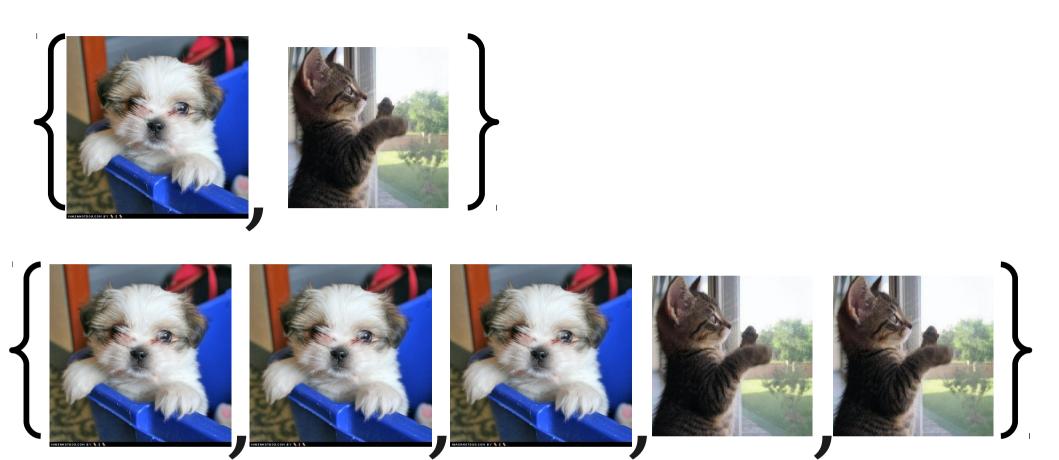


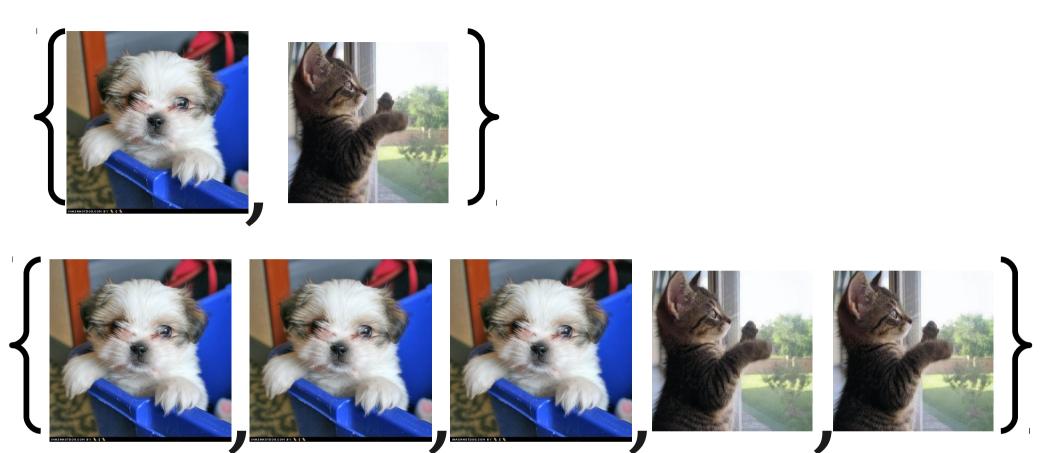


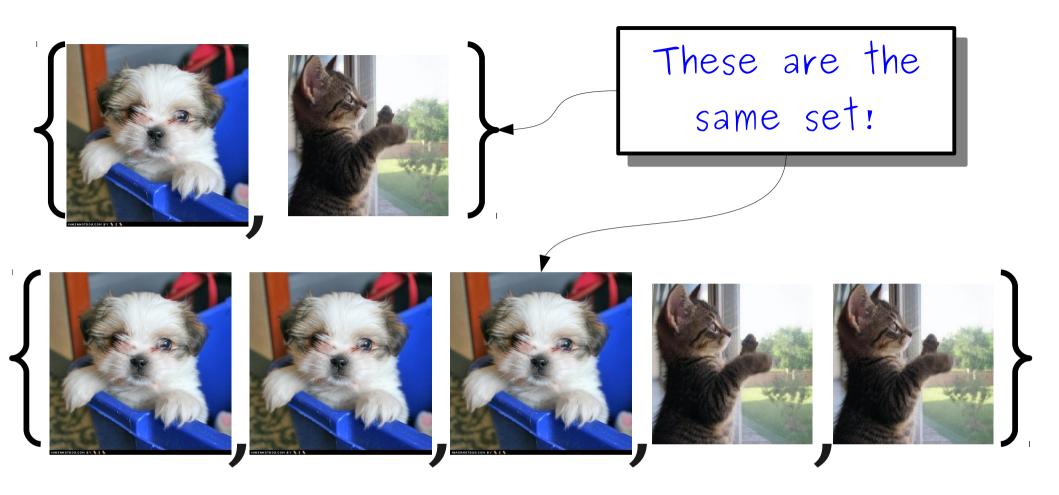


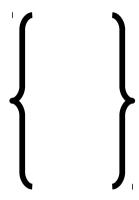


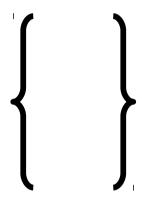




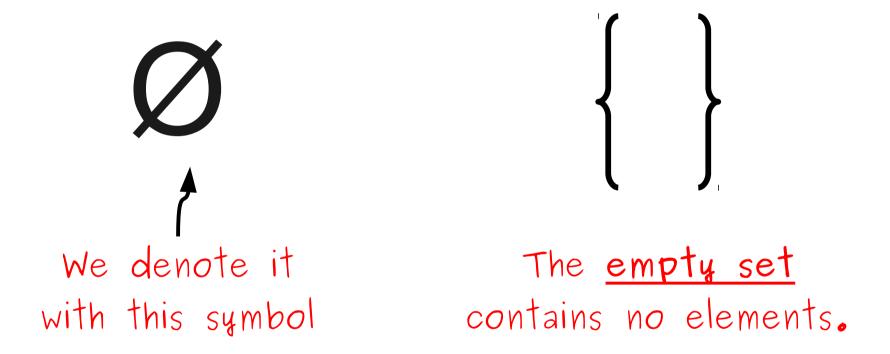


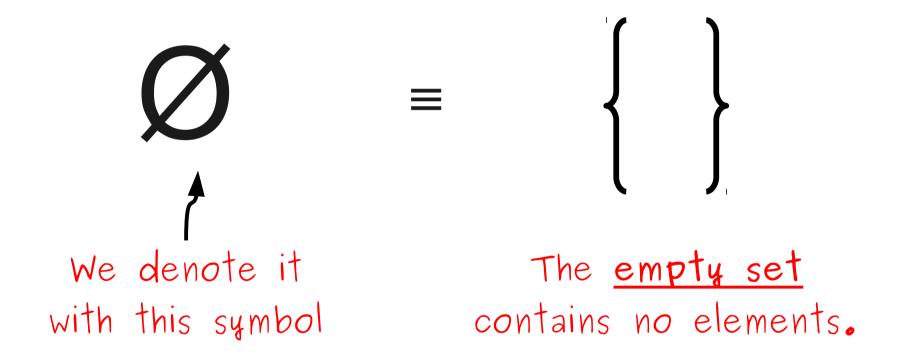


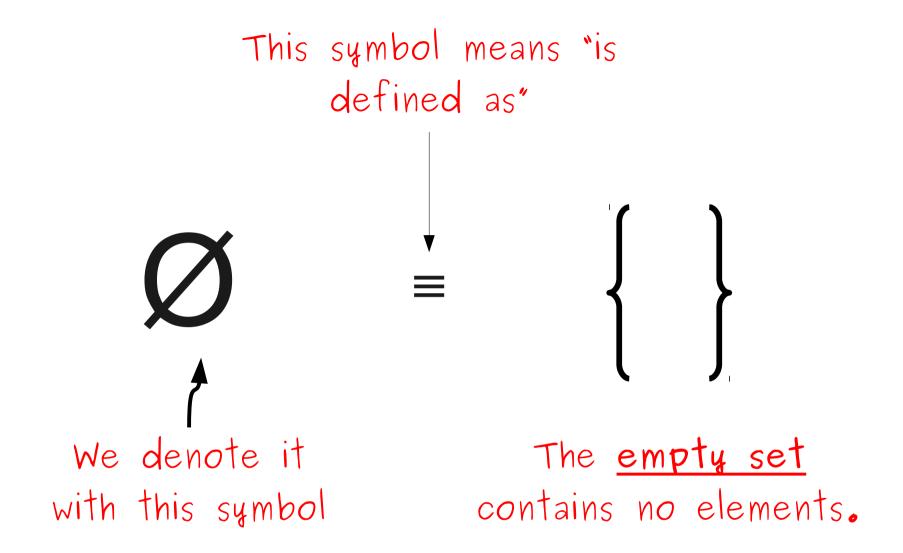




The <u>empty set</u> contains no elements.

























### Set Membership

Given a set S and an object x, we write

$$x \in S$$

if x is contained in S, and

$$x \notin S$$

otherwise.

- If  $x \in S$ , we say that x is an **element** of S.
- Given any object and any set, either that object is in the set or it isn't.

#### Infinite Sets

- Sets can be infinitely large.
- The **natural numbers**,  $\mathbb{N}$ : { 0, 1, 2, 3, ...}
  - Some authors (including Sipser) don't include zero; in this class, assume that 0 is a natural number.
- The **integers**,  $\mathbb{Z}$ : { ..., -2, -1, 0, 1, 2, ... }
  - Z is from German "Zahlen."
- The **real numbers**,  $\mathbb{R}$ , including rational and irrational numbers.

### Constructing Sets from Other Sets

Consider these English descriptions:

```
"All even numbers."

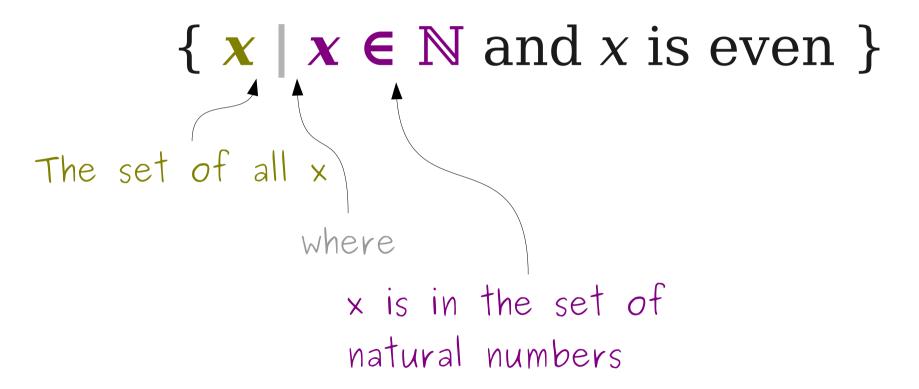
"All real numbers less than 137."

"All negative integers."
```

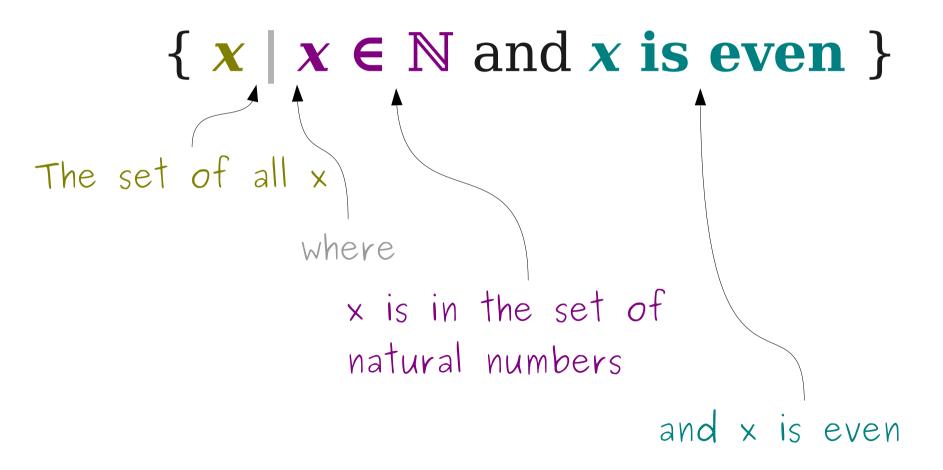
- We can't list their (infinitely many!) elements.
- How would we rigorously describe them?

 $\{ x \mid x \in \mathbb{N} \text{ and } x \text{ is even } \}$ 

 $\{x \mid x \in \mathbb{N} \text{ and } x \text{ is even } \}$ The set of all x



## The Set of Even Numbers



### Set Builder Notation

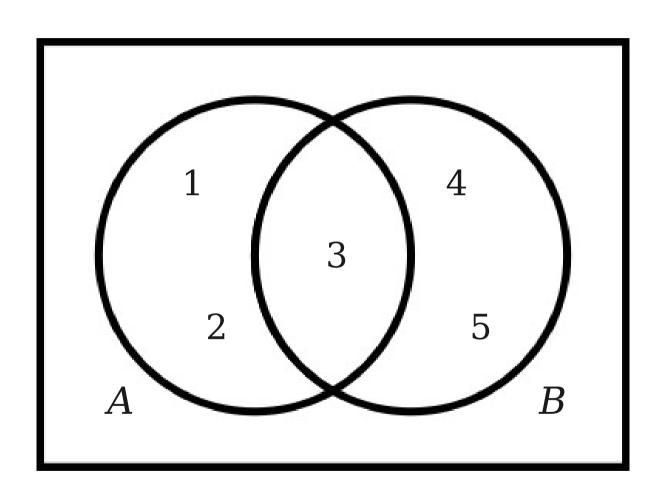
 A set may be specified in set-builder notation:

```
{ x | some property x satisfies }
```

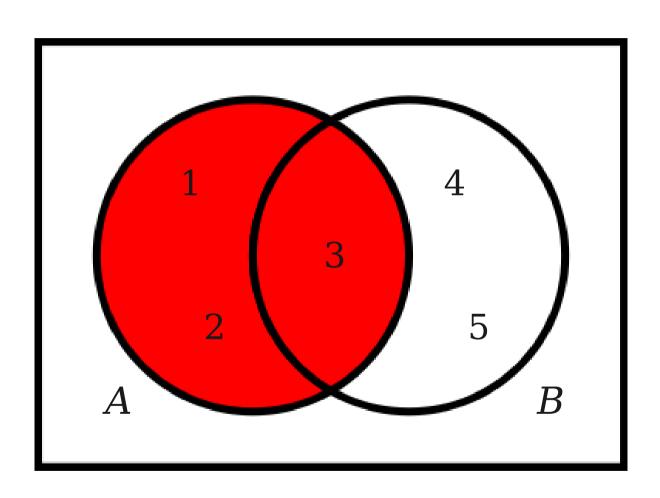
• For example:

```
{ r \mid r \in \mathbb{R}, r < 137 }
{ n \mid n is a perfect square }
{ x \mid x is a set of US currency }
```

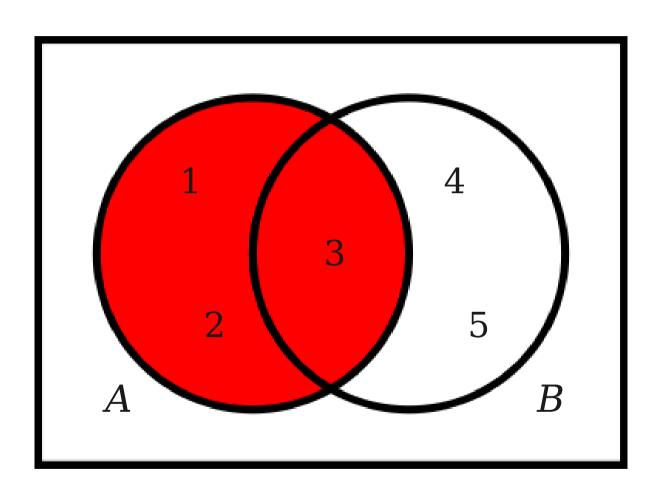
# Combining Sets



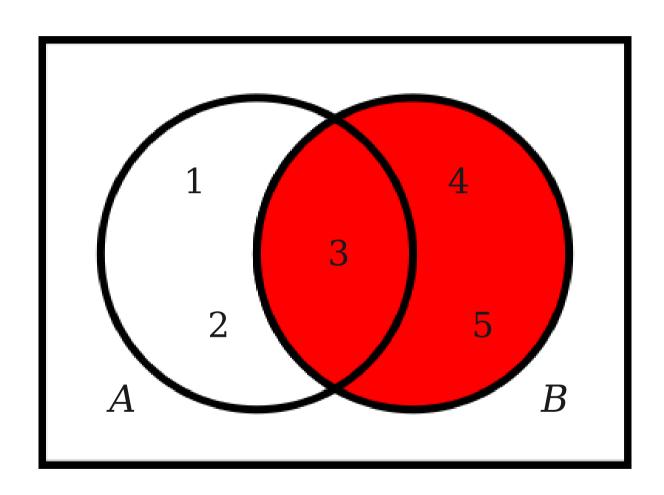
$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 



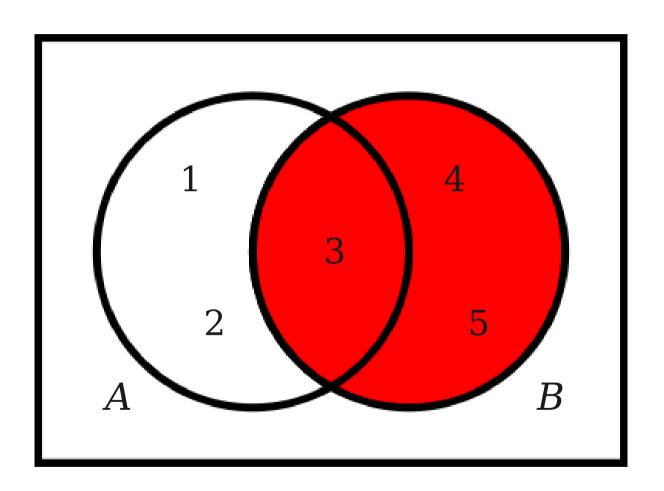
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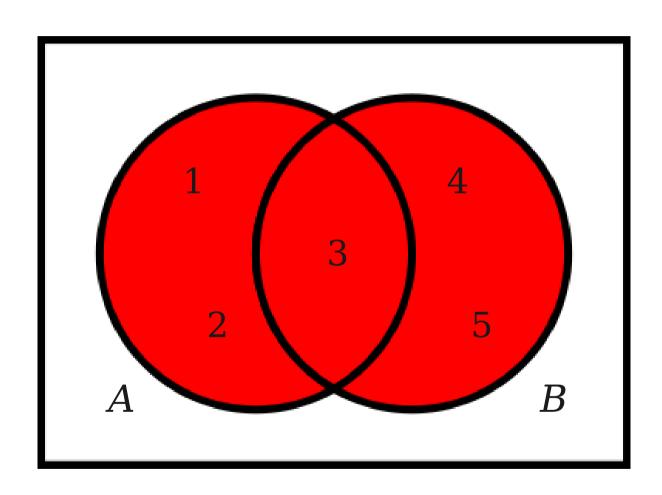


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 $B = \{ 3, 4, 5 \}$ 

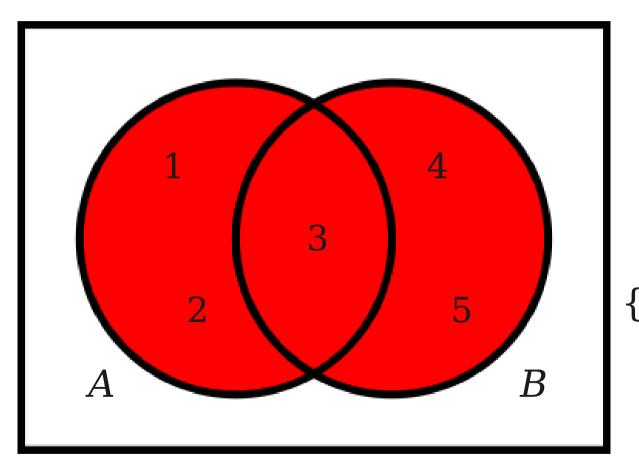


$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 

R

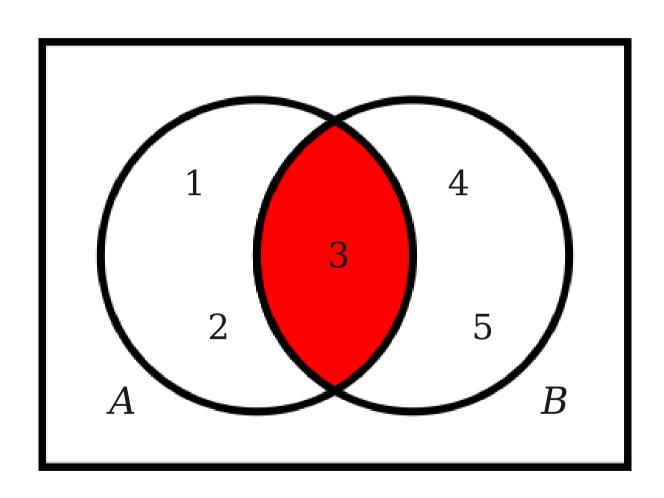


$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 

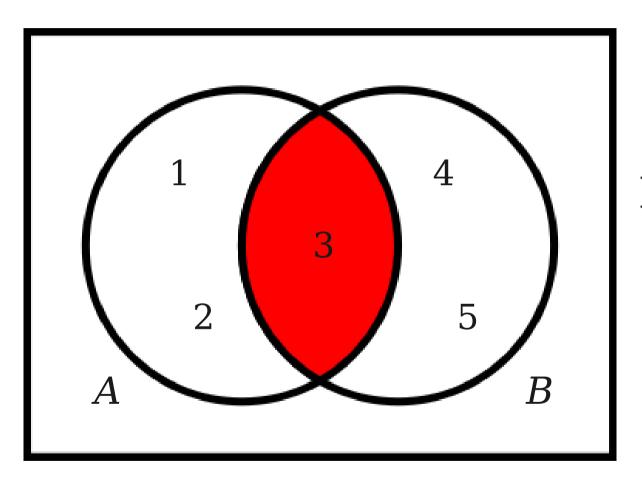


Union  $A \cup B$  { 1, 2, 3, 4, 5 }

$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 

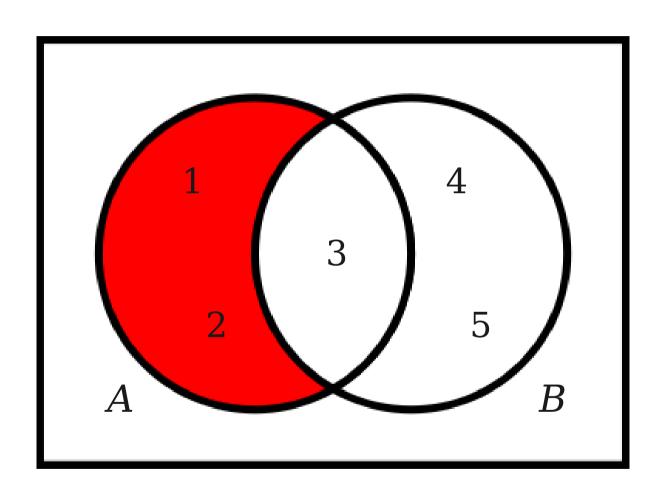


$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 

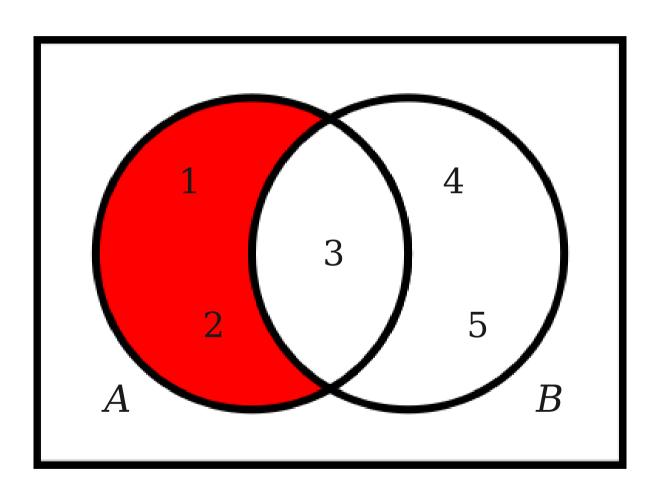


Intersection  $A \cap B$  { 3 }

$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 



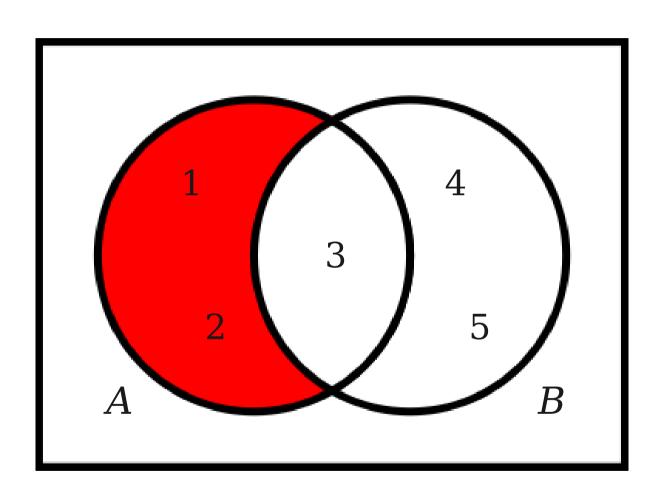
$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 



Difference

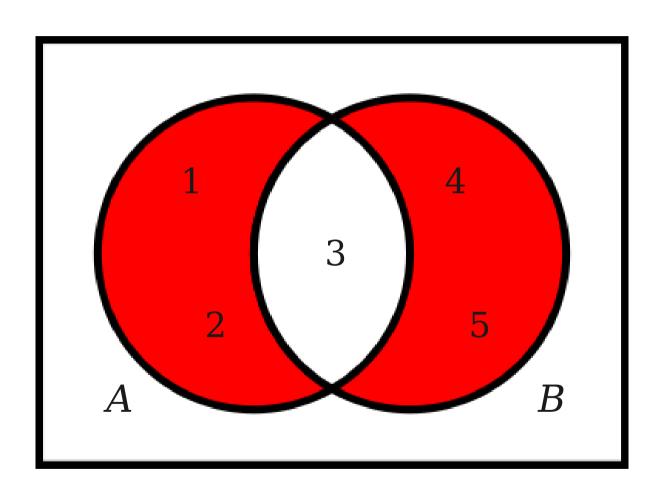
$$A - B$$
 { 1, 2 }

$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 

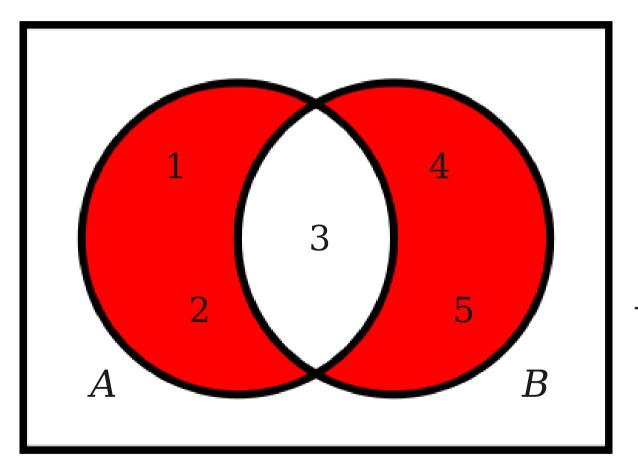


Difference

$$A = \{ 1, 2, 3 \}$$
  
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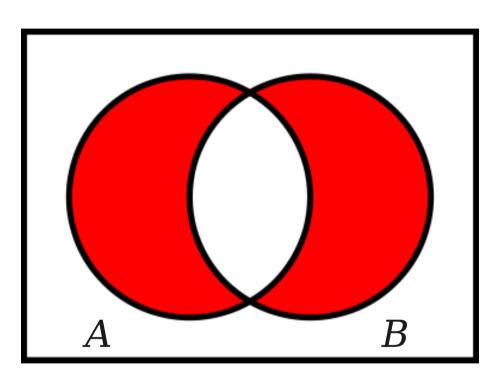


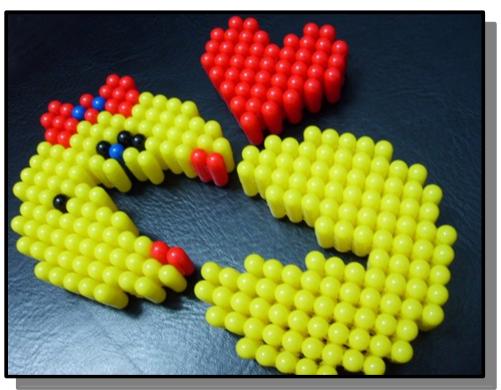
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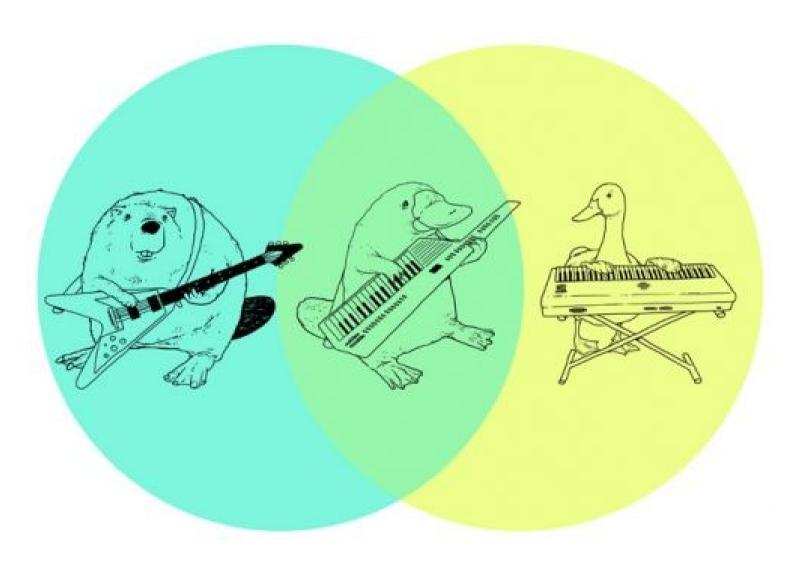
Symmetric Difference  $A \Delta B$  { 1, 2, 4, 5 }

$$A = \{ 1, 2, 3 \}$$
  
 $B = \{ 3, 4, 5 \}$ 

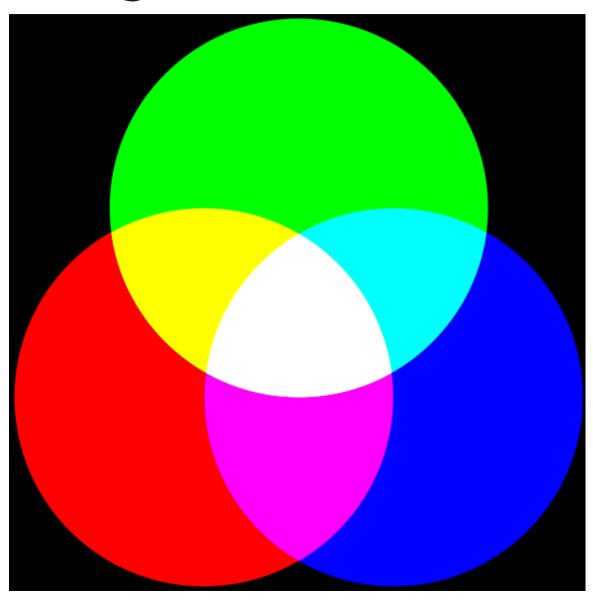




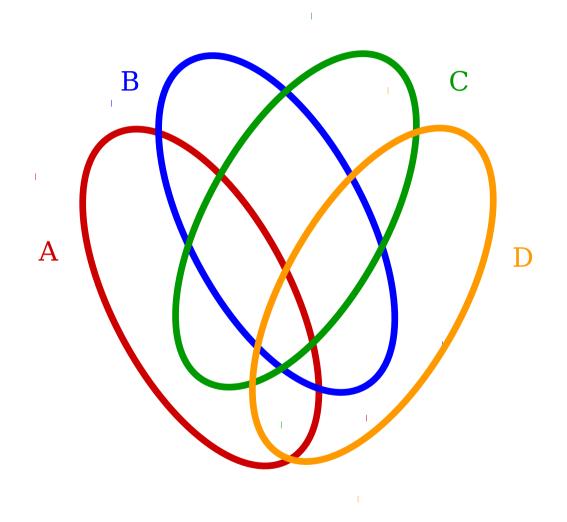
 $A \Delta B$ 



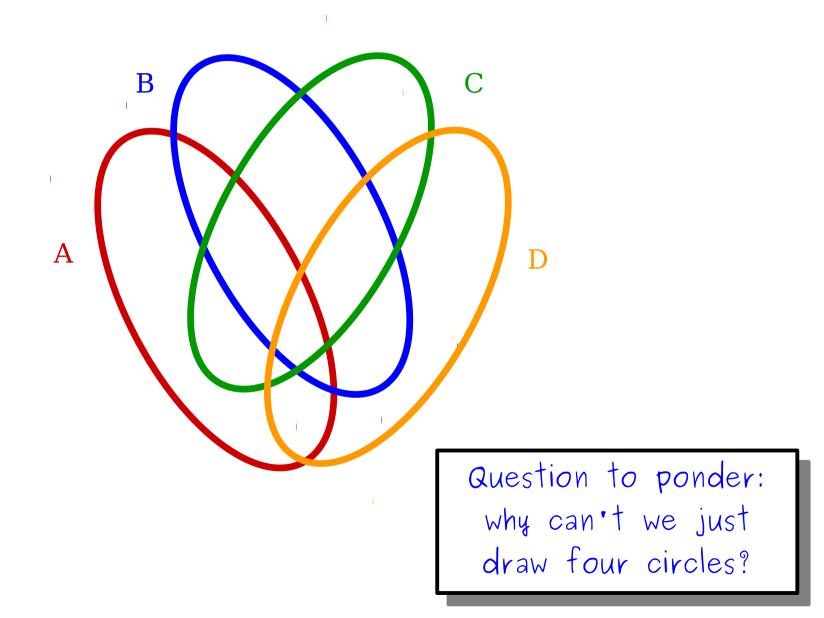
# Venn Diagrams for Three Sets



# Venn Diagrams for Four Sets



## Venn Diagrams for Four Sets



### A Fun Website: Venn Diagrams for Seven Sets

http://moebio.com/research/sevensets/

## Subsets and Power Sets

#### Subsets

• A set *S* is a **subset** of some set *T* if every element of *S* is also an element in *T*:

If 
$$x \in S$$
, then  $x \in T$ .

- We denote this as  $S \subseteq T$ .
- Examples:
  - $\{1, 2, 3\} \subseteq \{1, 2, 3, 4\}$
  - $\mathbb{N} \subseteq \mathbb{Z}$  (every natural number is an integer)
  - $\mathbb{Z} \subseteq \mathbb{R}$  (every integer is a real number)

# What About the Empty Set?

• A set *S* is a **subset** of some set *T* if every element of *S* is also an element in *T*:

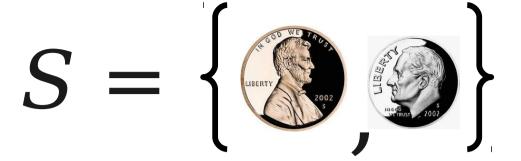
#### If $x \in S$ , then $x \in T$ .

- Is  $\emptyset \subseteq S$  for any set S?
- **Yes**: The above statement is true.
- Vacuous truth: A statement that is true because it does not apply to anything.
  - "All unicorns are blue."
  - "All unicorns are pink."

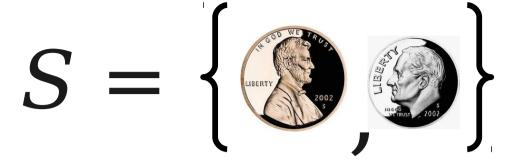
# Proper Subsets

- By definition, any set is a subset of itself. (Why?)
- A proper subset of a set S is a set T such that
  - *T* ⊆ *S*
  - *T* ≠ *S*
- There are multiple notations for this; they all mean the same thing:
  - *T* ⊊ *S*
  - $T \subset S$

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LIBERTY 2002

$$\{\mathcal{S}(S) = \left\{ \left( \sum_{i=1}^{N} \sum_{j=1}^{N} \sum_{j=1}^{N} \sum_{i=1}^{N} \sum_{j=1}^{N} \sum_{i=1}^{N} \sum_{j=1}^{N} \sum_{i=1}^{N} \sum_{j=1}^{N} \sum_{j=1}^{N} \sum_{j=1}^{N} \sum_{i=1}^{N} \sum_{j=1}^{N} \sum_{i=1}^{N} \sum_{j=1}^{N} \sum_{j=1}^{N}$$

 $\wp(S)$  is the power set of S (the set of all subsets of S)

# Cardinalities

# Cardinalities

# Cardinality

- The **cardinality** of a set is the number of elements it contains.
- We denote it |S|.
- Examples:
  - $| \{ a, b, c, d, e \} | = 5$
  - $| \{ \{a, b\}, \{c, d, e, f, g\}, \{h\} \} | = 3$
  - $| \{ 1, 2, 3, 3, 3, 3, 3 \} | = 3$
  - $| \{ x \mid x \in \mathbb{N} \text{ and } x < 137 \} | = 137$

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### The Cardinality of N

- What is  $|\mathbb{N}|$ ?
  - There are infinitely many natural numbers.
  - $|\mathbb{N}|$  can't be a natural number, since it's infinitely large.

### The Cardinality of N

- What is  $|\mathbb{N}|$ ?
  - There are infinitely many natural numbers.
  - $|\mathbb{N}|$  can't be a natural number, since it's infinitely large.
- We need to introduce a new term.
- Definition:  $|\mathbb{N}| = \aleph_0$ 
  - Pronounced "Aleph-Zero," "Aleph-Nought," or "Aleph-Null"

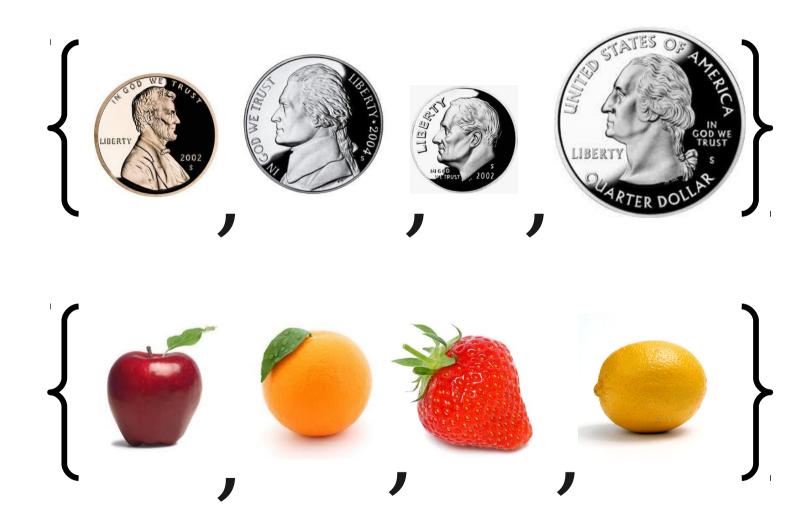
#### Consider the set

```
S = \{ x \mid x \in \mathbb{N} \text{ and } x \text{ is even } \}
```

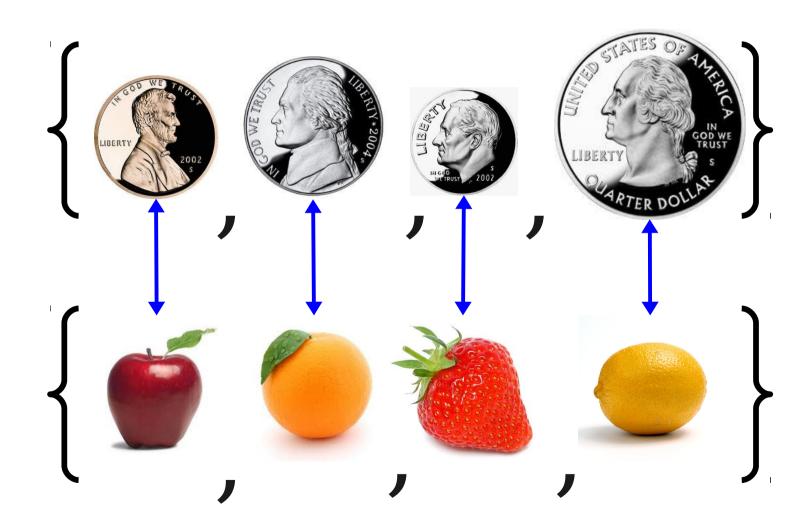
What is |S|?



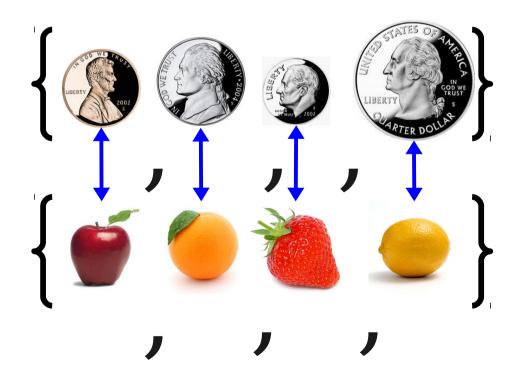
## How Big Are These Sets?



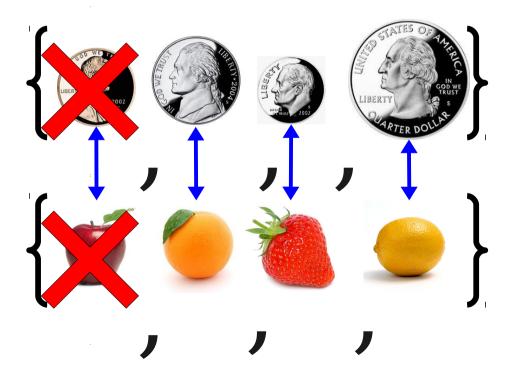
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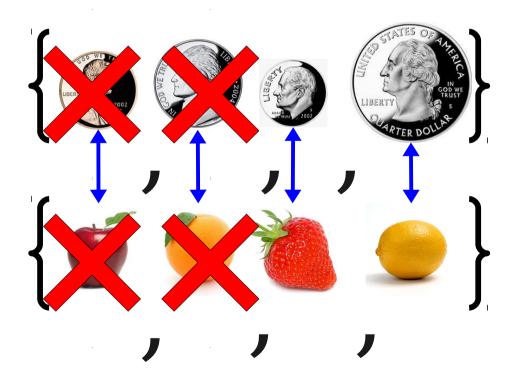
- Two sets have the same cardinality if their elements can be put into a one-toone correspondence with one another.
- The intuition:



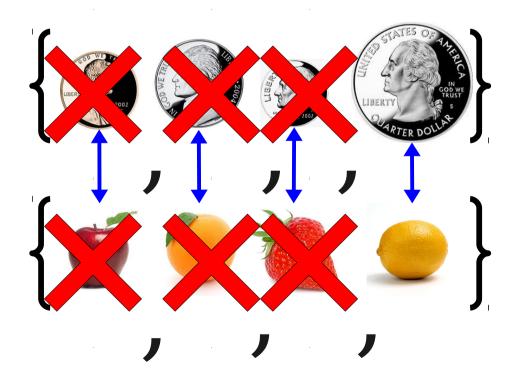
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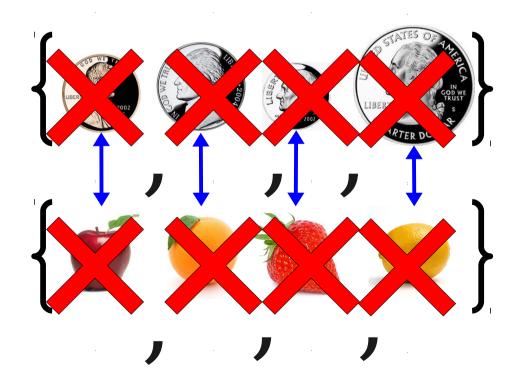
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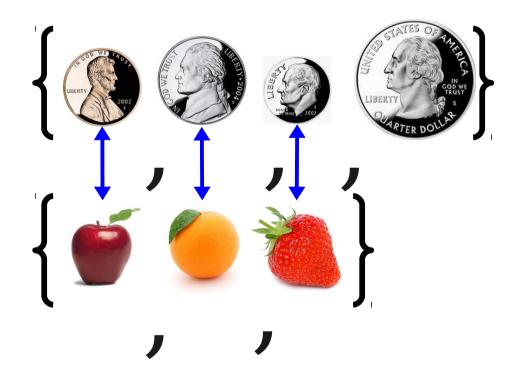
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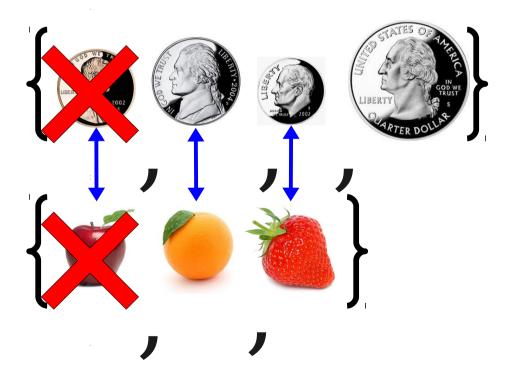
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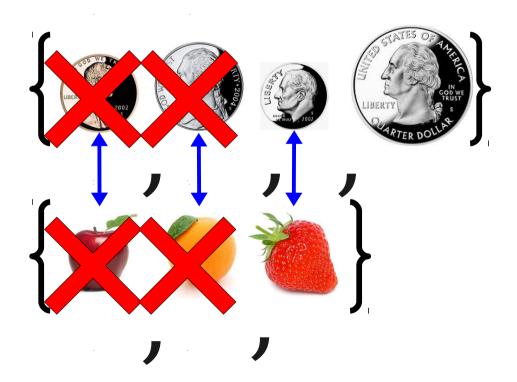
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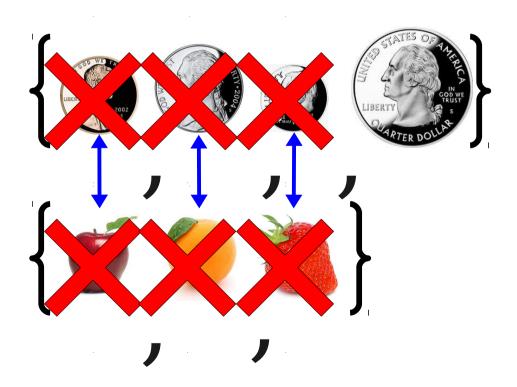
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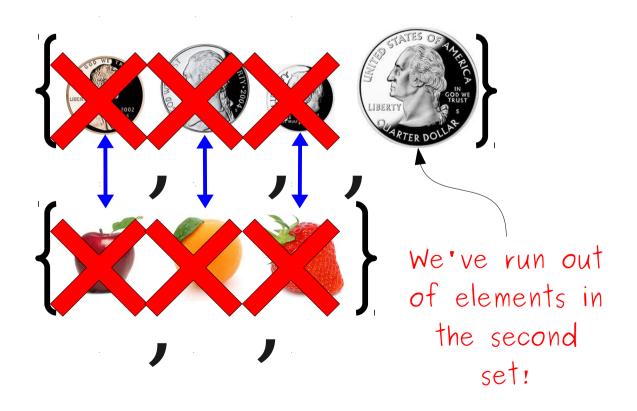
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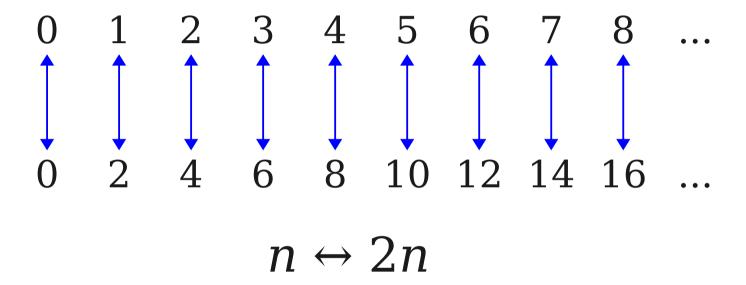
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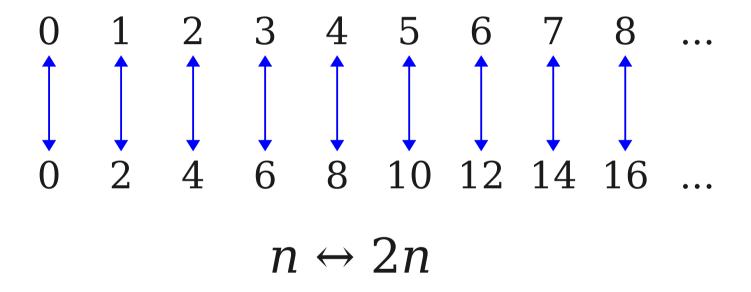


0 1 2 3 4 5 6 7 8 ...

0 2 4 6 8 10 12 14 16 ...

```
0 1 2 3 4 5 6 7 8 ...
0 2 4 6 8 10 12 14 16 ...
n \leftrightarrow 2n
```





$$S = \{ x \mid x \in \mathbb{N} \text{ and } x \text{ is even } \}$$

$$|S| = |\mathbb{N}| = \aleph_0$$

 $\mathbb{N}$  0 1 2 3 4 5 6 7 8 ...

 $\mathbb{Z}$  ... -3 -2 -1 0 1 2 3 4 ...

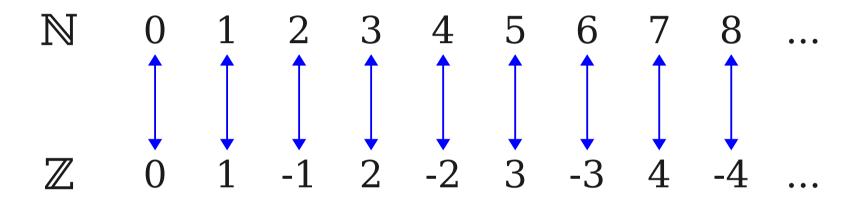
 $\mathbb{N}$  0 1 2 3 4 5 6 7 8 ...

 $\mathbb{Z}$  0 1 -1 2 -2 3 -3 4 -4 ...

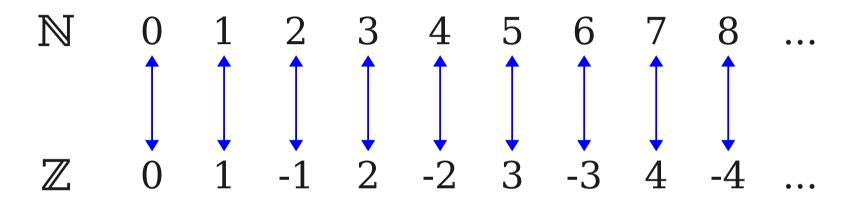
```
N 0 1 2 3 4 5 6 7 8 ...

Z 0 1 -1 2 -2 3 -3 4 -4 ...

n \leftrightarrow \text{if } n \text{ is even, then } -n / 2
\text{if } n \text{ is odd, then } (n + 1) / 2
```



$$n \leftrightarrow \text{if } n \text{ is even, then } -n / 2$$
  
if  $n \text{ is odd, then } (n + 1) / 2$ 



$$n \leftrightarrow \text{if } n \text{ is even, then } -n / 2$$
  
if  $n \text{ is odd, then } (n + 1) / 2$ 

$$|\mathbb{Z}| = |\mathbb{N}| = \aleph_0$$

#### **Important Question**

Do all infinite sets have the same cardinality? Prepare for one of the most beautiful (and surprising!) proofs in mathematics...

$$\mathscr{S}(S) = \left\{ \left( \sum_{i=1,\dots,n} \left( \sum_{$$

$$|S| < |\wp(S)|$$

```
S = \{a, b, c, d\}
                   \wp(S) = \{
                       Ø.
             {a}, {b}, {c}, {d},
{a, b}, {a, c}, {a, d}, {b, c}, {b, d}, {b, e}
  {a, b, c}, {a, b, d}, {a, c, d}, {b, c, d},
                  {a, b, c, d}
                  |S| < |\wp(S)|
```

If S is infinite, what is the relation between |S| and  $|\wp(S)|$ ?

Does  $|S| = |\wp(S)|$ ?

If  $|S| = |\wp(S)|$ , there has to be a one-to-one correspondence between elements of S and subsets of S.

What might this correspondence look like?

- Recall:  $|\mathbb{N}| = \aleph_0$ .
- By Cantor's Theorem:

```
|\mathbb{N}| < |\wp(\mathbb{N})|
|\wp(\mathbb{N})| < |\wp(\wp(\mathbb{N}))|
|\wp(\wp(\wp(\mathbb{N})))| < |\wp(\wp(\wp(\wp(\mathbb{N}))))|
|\wp(\wp(\wp(\mathbb{N})))| < |\wp(\wp(\wp(\wp(\mathbb{N}))))|
```

• • •

- Not all infinite sets have the same size.
- There are multiple different infinities.

# What does this have to do with computation?

"The set of all computer programs"

"The set of all problems to solve"

#### Strings and Problems

• Consider the set of all strings:

```
{ "", "a", "b", "c", ..., "aa", "ab", "ac," ... }
```

• For any set of strings *S*, we can solve the following problem about *S*:

Write a program that accepts as input a string, then prints out whether or not that string belongs to set *S*.

• Therefore, there are at least as many problems to solve as there are sets of strings.

Every computer program is a string.

So, there can't be any more programs than there are strings.

From Cantor's Theorem, we know that there are more sets of strings than strings.

There are at least as many problems as there are sets of strings.

 $|Programs| \le |Strings| < |Sets of Strings| \le |Problems|$ 

Every computer program is a string.

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From Cantor's Theorem, we know that there are more sets of strings than strings.

There are at least as many problems as there are sets of strings.

## |Programs| < |Problems|

# There are more problems to solve than there are programs to solve them.

#### It Gets Worse

- Because there are more problems than strings, we can't even *describe* some of the problems that we can't solve.
- Using more advanced set theory, we can show that there are *infinitely more* problems than solutions.
- In fact, if you pick a totally random problem, the probability that you can solve it is *zero*.

But then it gets better...

#### Where We're Going

- Given this hard theoretical limit, what can we compute?
  - What are the hardest problems we can solve?
  - How powerful of a computer do we need to solve these problems?
  - Of what we can compute, what can we compute *efficiently*?
- What tools do we need to reason about this?
  - How do we build mathematical models of computation?
  - How can we reason about these models?

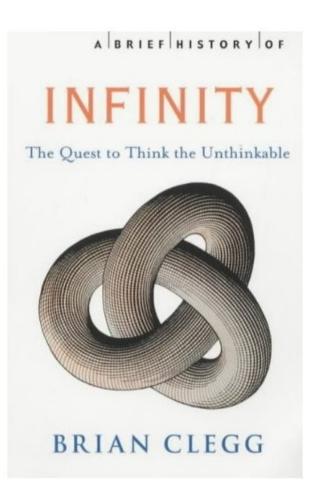
#### Next Time

#### Mathematical Proof

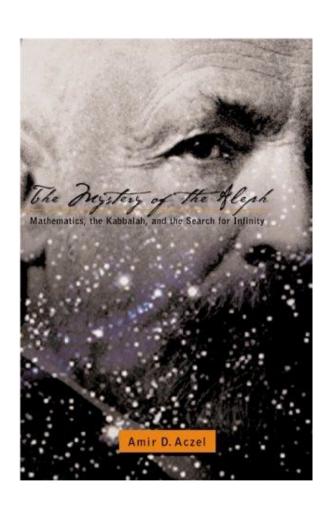
- What is a mathematical proof?
- How can we prove things with certainty?

# Direct Proofs

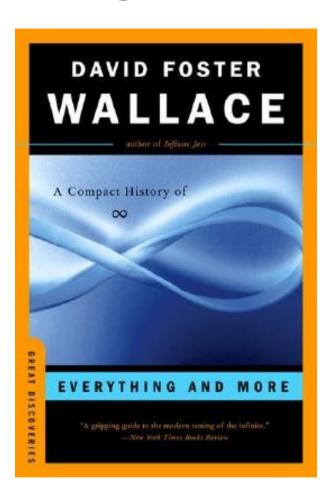
## Recommended Reading



A Brief History of Infinity



The Mystery of the Aleph



Everything and More

What is a Proof?

#### Induction and Deduction

- In the sciences, much reasoning is done inductively.
  - Conduct a series of experiments and find a rule that explains all the results.
  - Conclude that there is a general principle explaining the results.
  - Even if all data are correct, the conclusion might be incorrect.
- In mathematics, reasoning is done **deductively**.
  - Begin with a series of statements assumed to be true.
  - Apply logical reasoning to show that some conclusion necessarily follows.
  - If all the starting assumptions are correct, the conclusion necessarily must be correct.

#### Structure of a Mathematical Proof

- Begin with a set of initial assumptions called hypotheses.
- Apply logical reasoning to derive the final result (the **conclusion**) from the hypotheses.
- Assuming that all intermediary steps are sound logical reasoning, the conclusion follows from the hypotheses.

## Direct Proofs

#### Direct Proofs

- A direct proof is the simplest type of proof.
- Starting with an initial set of hypotheses, apply simple logical steps to prove the conclusion.
  - Directly proving that the result is true.
- Contrasts with **indirect proofs**, which we'll see on Friday.

#### Two Quick Definitions

- An integer n is even if there is some integer k such that n = 2k.
  - This means that 0 is even.
- An integer n is odd if there is some integer k such that n = 2k + 1.
- We'll assume the following for now:
  - Every integer is either even or odd.
  - No integer is both even and odd.

*Theorem:* If n is even, then  $n^2$  is even.

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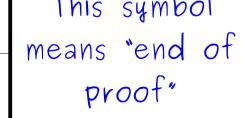
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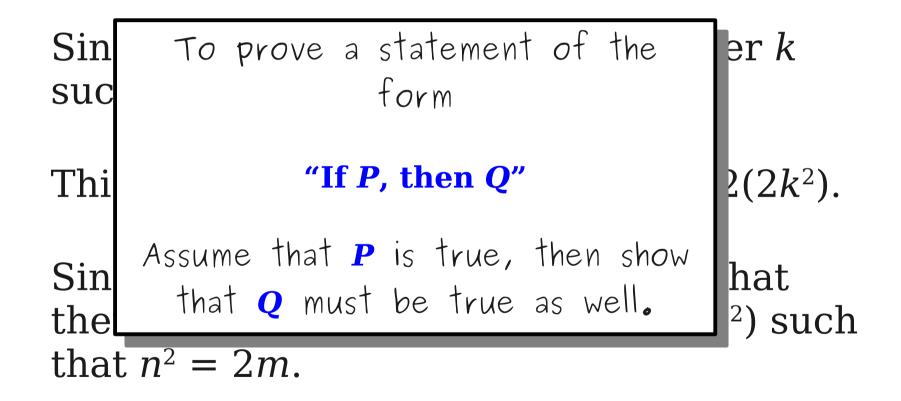
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This mea Since 2kthere is that  $n^2 =$ This is the definition of an even integer. When writing a mathematical proof, it's common to call back to the definitions.

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Since 2 Notice how we use the value of k
that we obtained above. Giving there is that  $n^2$  names to quantities, what they are, aren't fully sure what they are, + manipulate them. Th names to quantities, even if we allows us to manipulate them. This is similar to variables in programs.

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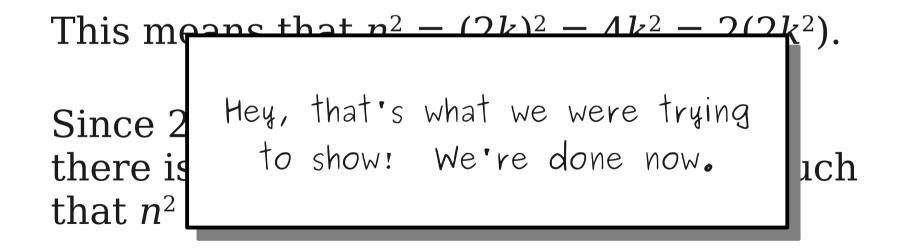
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#### Another Direct Proof

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How do we prove that this is true for any choice of sets?

# Proving Something Always Holds

Many statements have the form

For any X, P(X) is true.

• Examples:

For all integers n, if n is even,  $n^2$  is even.

For any sets A, B, and C, if  $A \subseteq B$  and  $B \subseteq C$ , then  $A \subseteq C$ . For all sets S,  $|S| < |\wp(S)|$ .

 How do we prove these statements when there are infinitely many cases to check?

# **Arbitrary Choices**

- To prove that P(x) is true for all possible x, show that no matter what choice of x you make, P(x) must be true.
- Start the proof by making an arbitrary choice of *x*:
  - "Let *x* be chosen arbitrarily."
  - "Let *x* be an arbitrary even integer."
  - "Let *x* be an arbitrary set containing 137."
  - "Consider any x."
- Demonstrate that P(x) holds true for this choice of x.
- Conclude that since the choice of x was arbitrary, P(x) must hold true for all choices of x.

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We're showing here that regardless of what A, B, and C you pick, the result will still be true.

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To prove a statement of the form

"If P, then Q"

Assume that P is true, then show that Q must be true as well.

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*Proof:* Consider an arbitrary even natural number, say, 16. 16 is even, and it has no odd divisors. Since our choice was arbitrary, for any arbitrary n, if n is even, n has no odd divisors.  $\blacksquare$ 

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#### ar·bi·trar·y adjective /ˈärbiˌtrerē/

- 1. Based on random choice or personal whim, rather than any reason or system "his mealtimes were entirely arbitrary"
- 2. (of power or a ruling body) Unrestrained and autocratic in the use of authority "arbitrary rule by King and bishops has been made impossible"
- 3. (of a constant or other quantity) Of unspecified value

Source: Google

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To prove something is true for all *x*, **do not** choose an *x* and base the proof off of your choice!

Instead, leave *x* unspecified and show that no matter what *x* is, the specified property must hold.

*Theorem:* For any sets A and B,  $A \subseteq A \cap B$ .

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Theorem:

Proof: We

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A, then

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If you want to prove that P implies Q, assume P and prove Q.

**Don't** assume Q and then prove P!

# An Entirely Different Proof

Theorem: There exists a natural number n > 0 such that the sum of all natural numbers less than n is equal to n.

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This is a fundamentally different type of proof that what we've done before. Instead of showing that <u>every</u> object has some property, we want to show that <u>some</u> object has a given property.

#### Universal vs. Existential Statements

- A universal statement is a statement of the form For all x, P(x) is true.
- We've seen how to prove these statements.

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- A universal statement is a statement of the form For all x, P(x) is true.
- We've seen how to prove these statements.
- An existential statement is a statement of the form

#### There exists an x for which P(x) is true.

How do you prove an existential statement?

## Proving an Existential Statement

- We will see several different ways to prove "there is some x for which P(x) is true."
- Simple approach: Just go and find some x for which P(x) is true!
  - In our case, we need to find a positive natural number *n* such that that sum of all smaller natural numbers is equal to *n*.
  - Can we find one?

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Theorem: There exists a natural number n > 0 such that the sum of all natural numbers less than n is equal to n.

Proof: Take n = 3.

There are three natural numbers smaller than 3: 0, 1, and 2.

We have 0 + 1 + 2 = 3.

Thus 3 is a natural number greater than zero equal to the sum of all smaller natural numbers.

#### The Counterfeit Coin Problem

#### Problem Statement

- You are given a set of three seemingly identical coins, two of which are real and one of which is counterfeit.
- The counterfeit coin weighs more than the rest of the coins.
- You are given a balance. Using only one weighing on the balance, find the counterfeit coin.

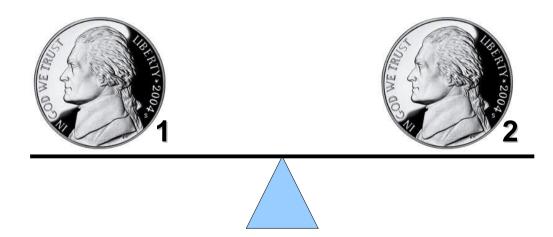
This is an existential statement.

We should try to look for an actual way to do this.

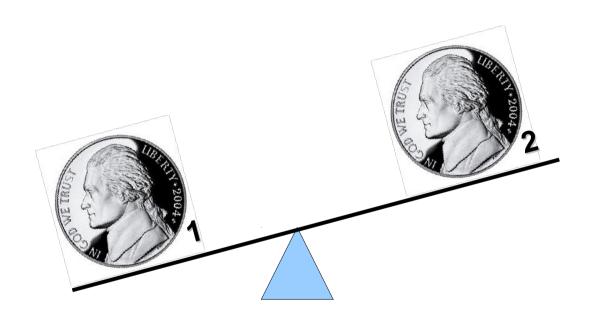




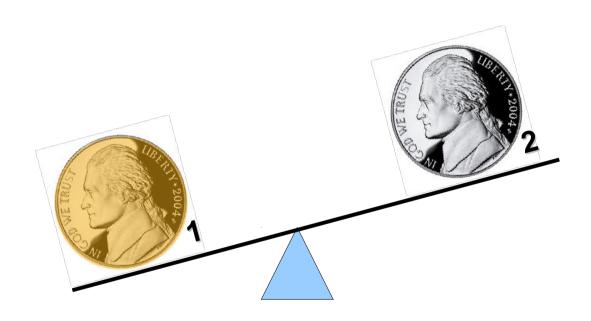




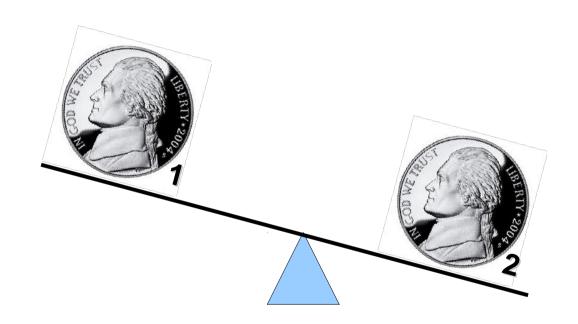




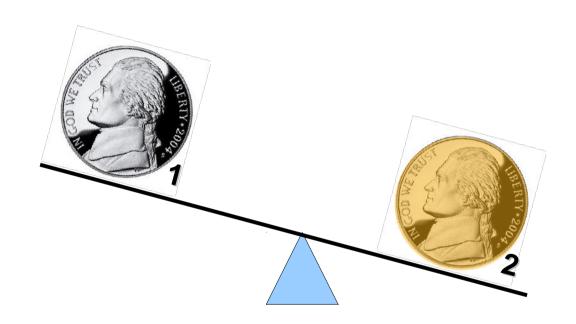




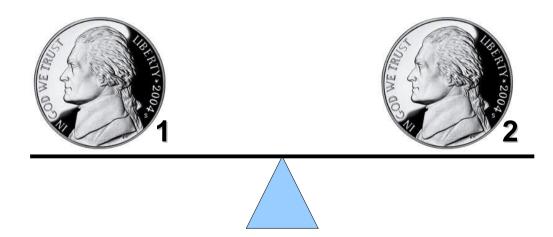




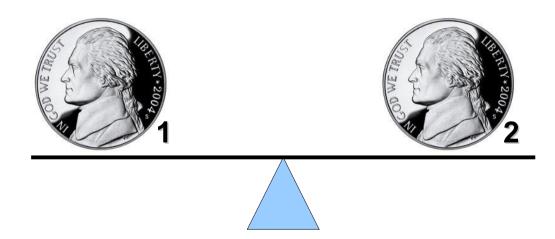














*Proof:* Label the three coins A, B, and C.

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Case 1: Coin A is heavier than coin B.

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Case 3: Coins A and B have the same weight.

*Proof:* Label the three coins A, B, and C. Put coins A and B on opposite sides of the balance. There are three possible outcomes:

Case 1: Coin A is heavier than coin B.

Case 2: Coin B is heaver than coin A.

Case 3: Co This is called a proof by cases (alternatively, a proof by exhaustion) and works by showing that the theorem is true regardless of what specific outcome arises.

*Proof:* Label the three coins A, B, and C. Put coins A and B on opposite sides of the balance. There are three possible outcomes:

Case 1: Coin A is heavier than coin B. Then coin A is counterfeit.

Case 2: Coin B is heaver than coin A.

Case 3: Coins A and B have the same weight.

*Proof:* Label the three coins A, B, and C. Put coins A and B on opposite sides of the balance. There are three possible outcomes:

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Case 1: Coin A is heavier than coin B. Then coin A is counterfeit.

Case 2: Coin B is heaver than coin A. Then coin B is counterfeit.

Case 3: Coins A and B have the same weight. Then coin C is counterfeit, because coins A and B are both honest.

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In a proof by cases, after demonstrating each case, you should summarize the cases afterwards to make your point clearer.

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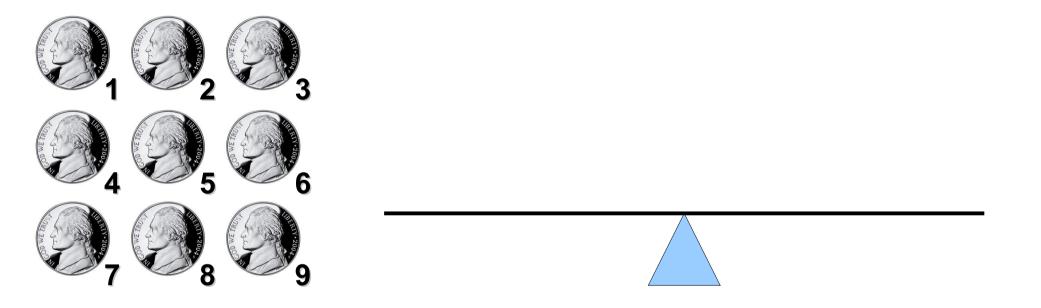
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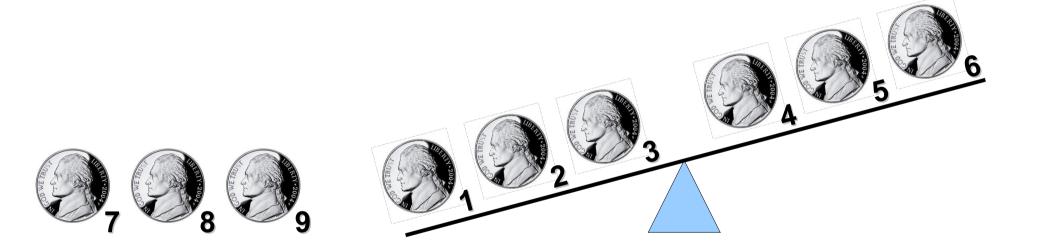
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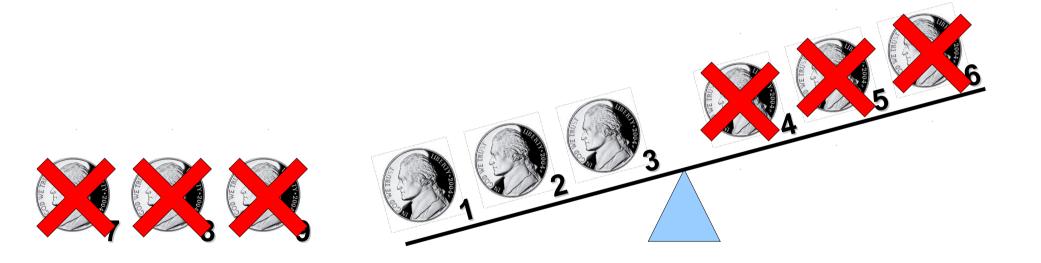
#### A Harder Problem

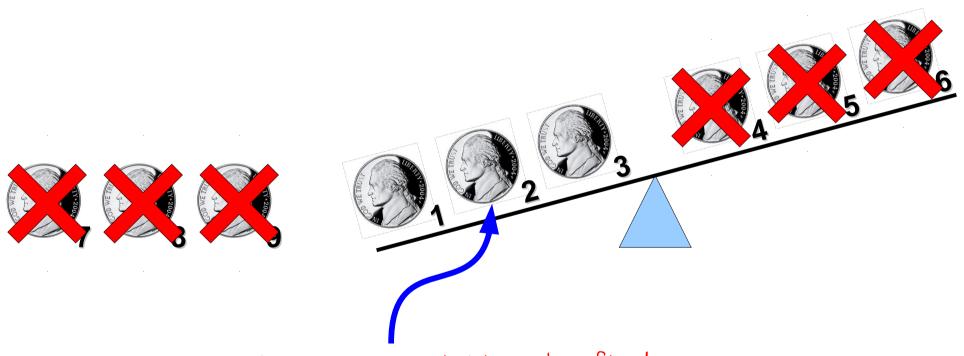
- You are given a set of nine seemingly identical coins, eight of which are real and one of which is counterfeit.
- The counterfeit coin weighs more than the rest of the coins.
- You are given a balance. Using only two weighings on the balance, find the counterfeit coin.



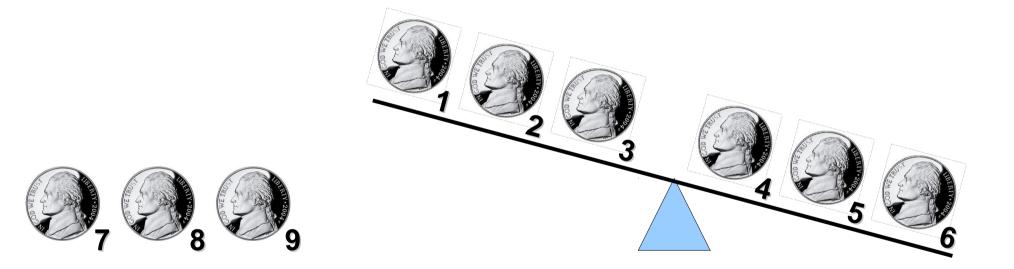


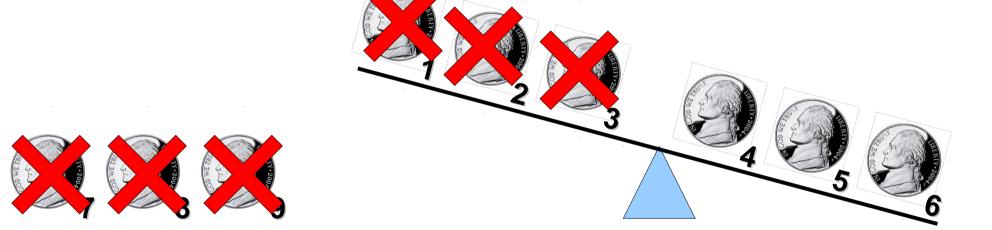




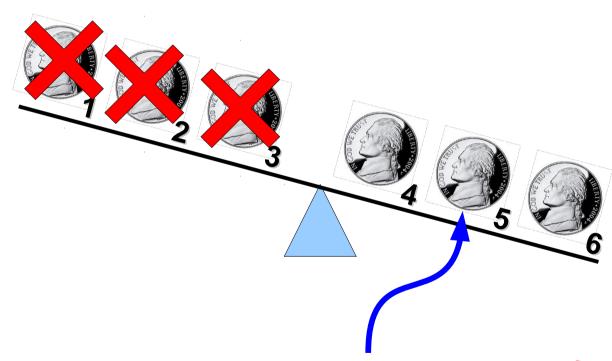


Now we have one weighing to find the counterfeit out of these three.



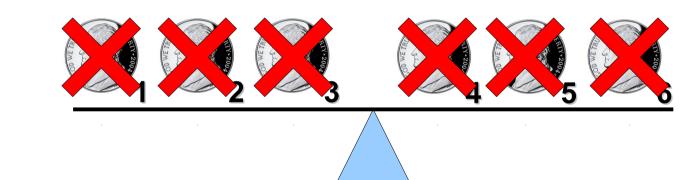




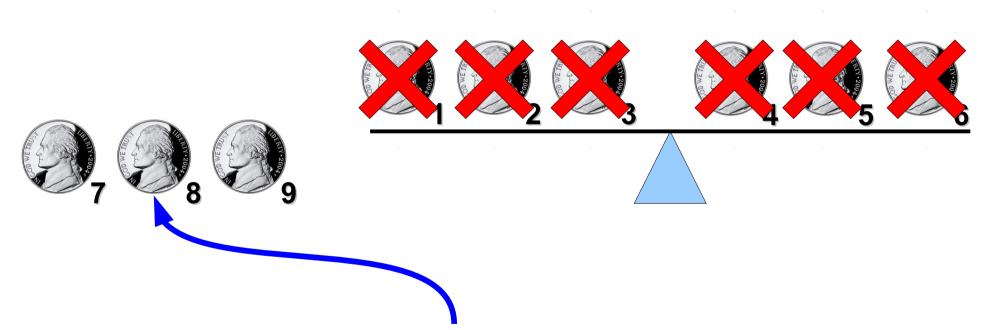


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Case 1: Group A is heavier than group B.

Case 2: Group B is heavier than group A.

Case 3: Groups A and B have the same weight.

*Proof:* Split the coins into three groups of three coins each (call them A, B, and C). Put groups A and B on opposite sides of the balance. There are three possible outcomes:

Case 1: Group A is heavier than group B. Then some coin in group A must be counterfeit.

Case 2: Group B is heavier than group A.

Case 3: Groups A and B have the same weight.

*Proof:* Split the coins into three groups of three coins each (call them A, B, and C). Put groups A and B on opposite sides of the balance. There are three possible outcomes:

Case 1: Group A is heavier than group B. Then some coin in group A must be counterfeit.

Case 2: Group B is heavier than group A. Then some coin in group B must be counterfeit.

Case 3: Groups A and B have the same weight.

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Case 2: Group B is heavier than group A. Then some coin in group B must be counterfeit.

Case 3: Groups A and B have the same weight. Then some coin in group C must be counterfeit, because the counterfeit coin is not in group A or group B.

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In each case, we can narrow down which of the nine coins is counterfeit to one of three.

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When proving a result, it's perfectly fine to refer to theorems you've proven earlier! Here, we cite our theorem from before and say it's possible to find which of three coins is the counterfeit.

In this course, feel free to refer to any theorem that we've proven in lecture, in the course notes, in the book, in section, or in previous problem sets when writing your proofs.

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In each case, we can narrow down which of the nine coins is counterfeit to one of three. Using our earlier result, we can find which of these three is counterfeit in just one weighing. Consequently, it's possible to find which of the nine coins is counterfeit in just two weighings.

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#### Relations Between Proofs

- Proofs often build off of one another: large results are almost often accomplished by building off of previous work.
  - Like writing a large program split the work into smaller methods, across different classes, etc. instead of putting the whole thing into main.
- A result that is proven specifically as a stepping stone toward a larger result is called a **lemma**.
- We can treat the proof of the three-coin case as a lemma in the larger proof about nine coins.
  - The result in itself isn't particularly impressive, but it helps us prove a more advanced result.

## Our Very Second Lemma

Set equality is defined as follows

A = B precisely when for every  $x \in A$ ,  $x \in B$  and vice-versa.

- This definition makes it a bit tricky to prove that two sets are equal.
- Instead, we will prove the following result:

For any sets A and B, if  $A \subseteq B$  and  $B \subseteq A$ , then A = B.

*Proof:* 

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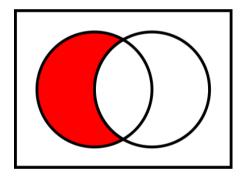
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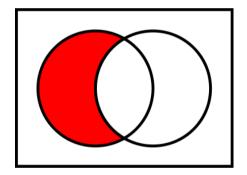
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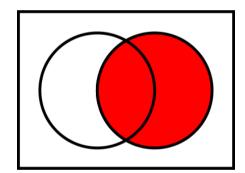
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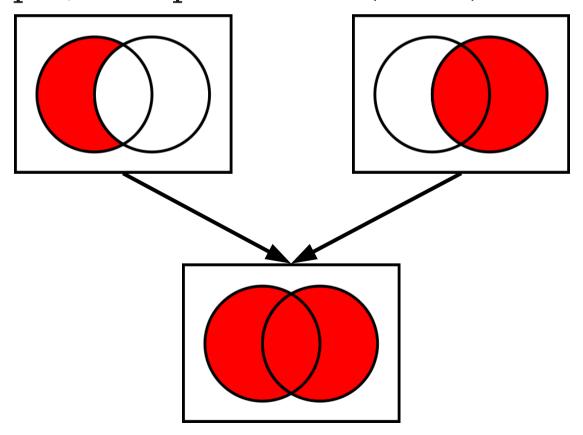


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- We can use this lemma to prove properties of how sets relate to one another.
- For example, let's prove that  $(A B) \cup B = A \cup B$ .
- Proof idea: Show that each set is a subset of the other.

By definition,  $(A - B) \cup B$  is the set of all x where  $x \in A - B$  or  $x \in B$ , so we have that  $x \in A - B$  or  $x \in B$ .

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In either case, any  $x \in (A - B) \cup B$  also satisfies  $x \in A \cup B$ , so  $(A - B) \cup B \subseteq A \cup B$  as required.

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We're already doing a proof by cases, but inside this particular case there's two more cases to consider. There's nothing wrong with that; just like nested loops in a program, we can have nested cases.

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*Theorem:* For any sets A and B,  $(A - B) \cup B = A \cup B$ .

*Proof:* Let *A* and *B* be arbitrary sets.

By Lemma 1,  $(A - B) \cup B \subseteq A \cup B$ .

By Lemma 2,  $A \cup B \subseteq (A - B) \cup B$ .

Consequently, by our earlier lemma,  $(A - B) \cup B = A \cup B$ .

## Next Time

- Indirect Proofs
  - Proof by contradiction.
  - Proof by contrapositive.